

FACTS**WHAT DOES CLEARSTEAD ADVISORS, LLC (“CLEARSTEAD”) DO WITH YOUR PERSONAL INFORMATION?**

Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	The types of personal information we collect and share depend on the product or service you have with us. This information can include: <ul style="list-style-type: none"> • Name • Postal Address • Email Address • Social Security number/Tax-Identification Number • Income • Assets • Account balances
How?	All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information; the reasons Clearstead chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Clearstead share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes— to offer our products and services to you	No	We do not share
For joint marketing with other financial companies	No	We do not share
For our affiliates’ everyday business purposes— information about your transactions and experiences	Yes	Yes
For our affiliates’ everyday business purposes— information about your creditworthiness	No	We do not share
For our affiliates to market to you	No	We do not share
For non-affiliates to market to you	No	We do not share

To limit our sharing	<ul style="list-style-type: none"> • Call 216-621-1090 • Visit us online: www.clearstead.com • Mail the form below <p>Please note:</p> <p>If you are a <i>new</i> customer, we can begin sharing your information 30 days from the date we sent this notice. When you are <i>no longer</i> our customer, we continue to share your information as described in this notice.</p> <p>However, you can contact us at any time to limit our sharing.</p>
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Questions?	Call 216-621-1090 or go to www.clearstead.com
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Who we are

Who is providing this notice?

Clearstead Advisors, LLC

What we do

How does Clearstead protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

How does Clearstead collect my personal information?

We collect the personal information provided by you, for example, when you

- Enter into an investment advisory agreement
- Seek financial advice
- Open brokerage accounts that Clearstead has access
- Make deposits or withdrawals from your account
- Tell us about your investment or retirement portfolio
- Provide tax documents
- Provide information on non-investment assets
- Give us your employment history

Why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for non-affiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

Definitions

Affiliates

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- Clearstead has an affiliated firm named Hazard & Hall CPA, which prepares tax returns for some Plimsoll Mark Capital, a division of Clearstead Advisors, LLC clients.
- Clearstead has a wholly owned subsidiary named Clearstead Trust, LLC, a non-depository trust company, which operates in and is registered with the State of Maine. Client information could be shared if a Clearstead client decided to engage with Clearstead Trust.

Nonaffiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- Clearstead does NOT share with non-affiliates.

Joint marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- Clearstead does NOT jointly market with non-affiliates.

Other important information